



DECLARATION FOR NON-PROVISIONAL PATENT APPLICATION*

As a ~~being~~ named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. beneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

RECOMBINANT ANTI-CD30 ANTIBODIES AND USES THEREOF

and for which a patent application:

- ☐ is attached hereto and includes amendment(s) filed on (if applicable)
☒ was filed in the United States on November 28, 2000 as Application No. 09/724,406 (for declaration not accompanying application)
 with amendment(s) filed on (if applicable)
☐ was filed as PCT international Application No. on and was amended under PCT Article 19 on (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

| EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION | | | |
|--|---------|--------------------------------------|--|
| APPLICATION NUMBER | COUNTRY | DATE OF FILING (day, month, year) | PRIORITY CLAIMED |
| | | | YES <input type="checkbox"/> NO <input type="checkbox"/> |
| | | | YES <input type="checkbox"/> NO <input type="checkbox"/> |
| | | | YES <input type="checkbox"/> NO <input type="checkbox"/> |

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

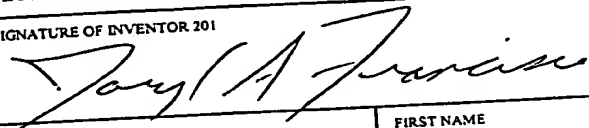
| PROVISIONAL APPLICATION NUMBER | FILING DATE |
|--------------------------------|-------------|
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I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

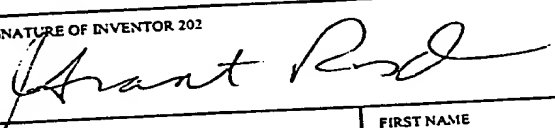
| NON-PROVISIONAL APPLICATION SERIAL NO. | FILING DATE | STATUS | | |
|---|-------------|----------|---------|-----------|
| | | PATENTED | PENDING | ABANDONED |
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

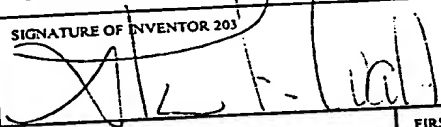
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|-------------|-------------------------|--|--|--------------------------------|
| 2 0 1 | FULL NAME OF INVENTOR | LAST NAME Francisco | FIRST NAME Joseph | MIDDLE NAME A. |
| | RESIDENCE & CITIZENSHIP | CITY Edmonds | STATE OR FOREIGN COUNTRY Washington | COUNTRY OF CITIZENSHIP USA |
| | POST OFFICE ADDRESS | STREET 21705 92nd Avenue West | CITY Edmonds | STATE OR COUNTRY Washington |
| | | SIGNATURE OF INVENTOR 201  | | DATE 01/10/01 |

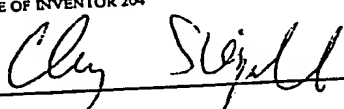
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|-------------|-------------------------|---|--------------------------------------|-------------------------------|
| 2 0 2 | FULL NAME OF INVENTOR | LAST NAME Risdon | FIRST NAME Grant | MIDDLE NAME |
| | RESIDENCE & CITIZENSHIP | CITY Clayton | STATE OR FOREIGN COUNTRY Missouri | COUNTRY OF CITIZENSHIP USA |
| | POST OFFICE ADDRESS | STREET 7400 Northmoor | CITY Clayton | STATE OR COUNTRY Missouri |
| | | SIGNATURE OF INVENTOR 202  | | DATE 01-09-01 |

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|-------------|-------------------------|--|--|--------------------------------|
| 2 0 3 | FULL NAME OF INVENTOR | LAST NAME Wahl | FIRST NAME Alan | MIDDLE NAME F. |
| | RESIDENCE & CITIZENSHIP | CITY Mercer Island | STATE OR FOREIGN COUNTRY Washington | COUNTRY OF CITIZENSHIP USA |
| | POST OFFICE ADDRESS | STREET 6150 East Mercer Way | CITY Mercer Island | STATE OR COUNTRY Washington |
| | | SIGNATURE OF INVENTOR 203  | | DATE 01-09-01 |

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|-------------|-------------------------|--|--|--------------------------------|
| 2 0 4 | FULL NAME OF INVENTOR | LAST NAME Siegal | FIRST NAME Clay | MIDDLE NAME B. |
| | RESIDENCE & CITIZENSHIP | CITY Edmonds | STATE OR FOREIGN COUNTRY Washington | COUNTRY OF CITIZENSHIP USA |
| | POST OFFICE ADDRESS | STREET 639 8th Avenue South | CITY Edmonds | STATE OR COUNTRY Washington |
| | | SIGNATURE OF INVENTOR 204  | | DATE 01-10-01 |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: ☒ Application of: Francisco et al.
☐ Patent of:

☒ Application No.: 09/724,406
☐ Patent No.:

☒ Filed: November 28, 2000
☐ Issued:

Group Art Unit: To be assigned

Examiner: To be assigned

For: RECOMBINANT ANTI-CD30 ANTIBODIES
AND USES THEREOF

Attorney Docket No.: 9632-006-999

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
[37 C.F.R. § 1.27(a)(2)] - Small Business Concern

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act in behalf of
the concern identified below:

Name of organization Seattle Genetics, Inc.
Address of organization 22215 26th Avenue SE
Bothell, Washington 98021

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 C.F.R. § 1.27(a)(2), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the person employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern and/or there is an obligation under contract or law by the inventor(s) to convey rights to the small business concern with regard to the invention entitled RECOMBINANT ANTI-CD30 ANTIBODIES AND USES THEREOF by inventor(s) Joseph A. Francisco, Grant Risdon, Alan F. Wahl and Clay Siegall described in

- ☐ the specification filed herewith
☒ application no. 09/724,406 filed November 28, 2000
☐ patent no. issued

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no

rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 C.F.R. § 1.27(a)(1) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.27(a)(2), or a nonprofit organization under 37 C.F.R. § 1.27(a)(3).

FULL NAME _____
ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME _____
ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

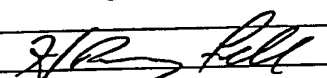
FULL NAME _____
ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 C.F.R. § 1.27(g)(2)]

I acknowledge that (1) any attempt to fraudulently (a) establish status as a small entity or (b) pays fees as a small entity or (2) improperly and with intent to deceive (a) establishing status as a small entity or (b) paying fees as a small entity shall be considered as a fraud practiced or attempted on the Patent and Trademark Office. [37 C.F.R. § 1.27(h)(1) and (2)]

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|-------------------------|--|--|
| Send correspondence to: | PENNIE & EDMONDS LLP 1155 Avenue of the Americas New York, N.Y. 10036-2711 | Direct Telephone calls to: PENNIE & EDMONDS LLP (212) 790-9090 |
|-------------------------|--|--|

| | |
|----------------------------------|---|
| Name of person signing | H. Perry Fell, Jr. |
| Title of person other than owner | Chief Executive Officer |
| Address of person signing | 22215 26th Avenue SE Bothell, Washington 98021 |
| Signature |  |
| Date | 1-25-2001 |